



4/16 Receipt  
#4 PATENT  
18810-81607

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Carol W. Readhead and Robert Winston  
Serial No. 10/008,385  
Filed: November 12, 2001  
For: IN VITRO TRANSFECTION, STORAGE AND TRANSFER  
OF MALE GERM CELLS FOR GENERATION OF  
TRANSGENIC SPECIES  
Examiner: Unknown  
Unit: 1632

**SECOND REQUEST FOR CORRECTION OF FILING RECEIPT  
FOR PTO MISTAKE (37 C.F.R. . § 1.322 (a))**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D. C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS	
WASHINGTON, D.C. 20231, ON	October 30, 2002 DATE
BY <i>Christina Tong</i> Christina Tong	
October 30, 2002 (DATE OF SIGNATURE)	

Dear Sir or Madam:

Applicant requests correction of Filing Receipt mailed September 6,  
2002, concerning the above-referenced application to indicate the correct order of  
named applicants, as indicated in the enclosed marked-up version of the filing receipt  
(Exhibit A) from:

Robert Winston, London, UNITED KINGDOM;  
Carol W. Readhead, Pasadena, CA;  
to:  
Carol W. Readhead, Pasadena, CA;  
Robert Winston, London, UNITED KINGDOM.

Support for this request is found in a copy of the first page of the Utility  
Patent Application Transmittal (Small Entity), filed on November 12, 2001 (Exhibit B).

Also enclosed is a copy of the Cover Page of the above referenced application, filed on November 12, 2001(Exhibit C).

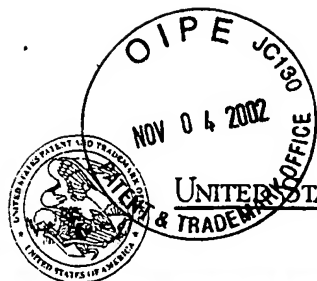
The Commissioner is hereby authorized to credit overpayments or charge any fees required under 37 C.F.R. 1.16 or C.F.R. 1.17 to Deposit Account No. 50-1597.

Respectfully submitted,

By: 

Nisan A. Steinberg, Ph.D.  
Registration No. 40,345

SIDLEY AUSTIN BROWN & WOOD  
555 West Fifth Street  
Los Angeles, California 90013-1010  
Ofc: 213/ 896-6663  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/008,385	11/12/2001	1632	379	18810-81607	0	21	1

CONFIRMATION NO. 3519

## CORRECTED FILING RECEIPT



\*OC000000008744892\*

SIDLEY AUSTIN BROWN & WOOD  
555 West Fifth Street  
Los Angeles, CA 90013-1010

Date Mailed: 09/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

~~Robert Winston, London, UNITED KINGDOM;~~~~Carol W. Readhead, Pasadena, CA;~~

Change to:

Carol W. Readhead, Pasadena, CA;

Robert Winston, London, UNITED KINGDOM

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/191,920 11/13/1998 PAT 6,316,692  
WHICH CLAIMS BENEFIT OF 60/065,825 11/14/1997

## Foreign Applications

If Required, Foreign Filing License Granted 01/17/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

In vitro transfection, storage and transfer of male germ cells for generation of transgenic species

Preliminary Class

800

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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**NOT GRANTED**

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# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No.  
18810-81607

Total Pages in this Submission  
102

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**In Vitro Transfection, Storage and Transfer of Male Germ Cells for Generation of Transgenic Species**

and invented by:

**Carol W. Readhead and Robert Winston**

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/191,920

Claims benefit of provisional : 60/065,825

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☐ Filing fee as calculated and transmitted as described below
2. ☐ Specification having 47 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure



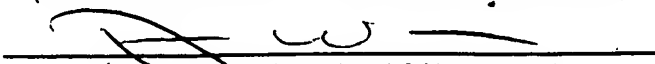
DIVISIONAL APPLICATION  
OF

CAROL W. READHEAD  
AND  
ROBERT WINSTON

FOR  
UNITED STATES LETTERS PATENT  
ON  
IN VITRO TRANSFECTION, STORAGE AND TRANSFER OF MALE GERM CELLS  
FOR GENERATION OF TRANSGENIC SPECIES

Docket: 18810-81607  
Sheets of Drawings: None

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<p align="center"><b>CERTIFICATE OF MAILING BY "EXPRESS MAIL"</b></p> <p align="center">"EXPRESS MAIL" MAILING LABEL NO. <b>EL 900 688 448 US</b> DATE OF DEPOSIT: <b>NOVEMBER 12, 2001</b></p> <p>I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX PATENT APPLICATION, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.</p> <p align="center"><u>ANN WEISS</u> (TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)</p> <p align="center"> (SIGNATURE OF PERSON MAILING PAPER OR FEE)</p>
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